

# **EE FY00 Report: Nanostructure Multilayer Materials for Capacitors**

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# EE FY00 Report:

## Nanostructure Multilayer Materials for Capacitors

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### Objectives:

- Apply Reactive Magnetron Sputter Deposition to the Fabrication of High Energy Density Capacitors for Electrical Power Control Applications
- Develop Low Loss – High Breakdown Field Moderate ( $10 < k < 50$ ) to High ( $50 < k < 150$ ) Dielectric Constant Materials
- Fabricate Demonstration Capacitors Having Application Defined Specifications
- Technology Transfer Capacitor Fabrication Process to Industry

### Approach:

- Develop High Energy Density Capacitor Performance By Achieving High Breakdown Fields
- Develop Low Loss – High Breakdown Field Moderate ( $10 < k < 50$ ) to High ( $50 < k < 150$ ) Dielectric Constant Materials

### Accomplishments:

- Extended Magnetron Sputter Deposition Fabrication of High Energy Density – High Breakdown Field Capacitor Structures to Dielectric Materials with  $3 < k < 50$ .

- Developed a Qualitative Explanation for the Systematics in the Breakdown Field Dependence on Dielectric Constant.
- Increased the Yield of the Reactive Magnetron Sputter process for Fabrication of High Energy Density Capacitors: (< 0.03 Defects /cm<sup>2</sup>)
- Developing Technology Transfer Relations with Capacitor Manufacturers

## **Future Directions:**

- Continue Development of High Breakdown Strength – Low Loss – Low Temperature Dependence Dielectric Materials to Increase the Performance of Multilayer Material Capacitors.
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## **Introduction:**

Only two intrinsic approaches to increasing the density of energy stored in capacitors are known:

- 1) Increase the Dielectric Constant while maintaining the breakdown field.
- 2) Increase the breakdown field for a given dielectric constant material

The maximum energy density,  $E_0$  (Joules/cm<sup>3</sup>) that can be stored in the dielectric of a capacitor is given by:

$$E_0 = 1/2 k \epsilon_0 V_b^2 \text{ (Joules/cm}^3 \text{ dielectric)}$$

Where  $k$  is the relative permittivity (dielectric constant),  $\epsilon_0$  is the permittivity of free space ( $8.894 \times 10^{-14}$  F/cm) and  $V_b$  the dielectric material breakdown field. In this project we have successfully developed capacitor structures using dielectric materials with  $3 < k < 50$  that exhibit high breakdown fields. The observed performance of these capacitors as characterized by the energy stored per unit



volume of dielectric at  $V_b$  are compared on the basis the breakdown field in Figure 1.

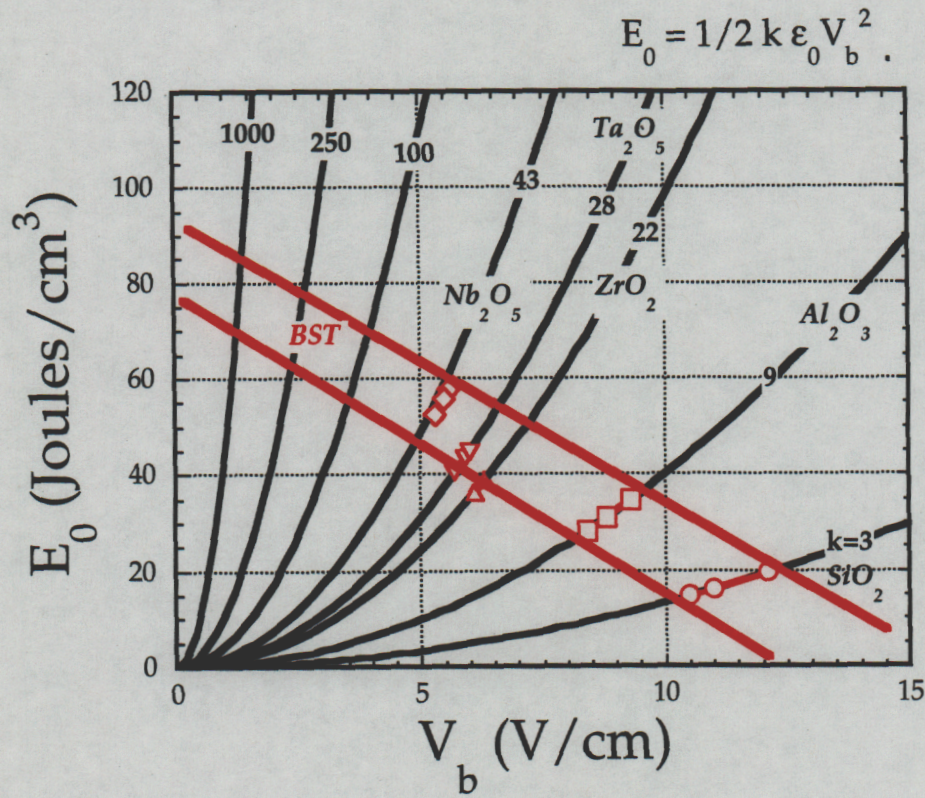


Figure 1. The experimentally observed performance of  $SiO_2$ ,  $Al_2O_3$ ,  $ZrO_2$ ,  $Ta_2O_5$ ,  $Nb_2O_5$  and single crystal  $BST$  (HP data) dielectric capacitors characterized by the energy stored per unit volume of dielectric at  $V_b$  are compared on the basis the breakdown field. The energy stored per unit volume of dielectric calculated for  $k = 3, 9, 22, 28, 43, 100, 250$  and  $1000$  is also plotted for comparison.

It is surprisingly clear from the results presented in Figure 1 that there is a systematic dependence of  $E_0$ , the stored energy density, and the breakdown field on the dielectric constants of the materials studied. These data show that the more polarizable the dielectric (increasing  $k$ ), the lower the breakdown field. This is delineated in Figure 1 by the band between the two straight lines bounding our experimental results. When extrapolated to high  $k$  materials our data, coupled with the HP single crystal  $BST$  result, indicates that there



may be an upper limit to the stored energy density achievable in the dielectric of a capacitor – 80 to 100 Joules/cm<sup>3</sup>.

There are several physical effects that may provide mechanistic rationale for these two observations. First, at an applied macroscopic field of 10 MV/cm the average field at the atomic level is 0.1 V/Å, the threshold for field driven chemical reactions that may initiate breakdown. Second, in the case of dielectrics the local electron and ion displacements resulting from such an applied potential are directly related to the stored energy density and may be very large. Thus, electro-striction generated stresses can be significant and initiate failure or breakdown by mechanical means. Also, the displacement of electrons may result in local transport and “hot electron injection” again leading to breakdown. Third, stored energies at levels greater than 50 joules/cm<sup>3</sup> are a significant fraction of the energy differences (150 to 400 joules/cm<sup>3</sup>) between the polymorphic atomic arrangements of many dielectric materials. If a local phase transformation is initiated as a result of the electro-striction strains or is initiated as a process decreasing the stored energy by changing the properties of the dielectric it is likely that breakdown would be initiated. Although these mechanisms are only qualitatively outlined here understanding their impact is worth attempting.

The most significant result is the upper limit in stored energy indicated for very high dielectric constant materials:  $E_0 \leq 80$  to 100 joules /cm<sup>3</sup>. If a materials dielectric constant is 1000 or larger its breakdown field at this stored energy level is expected to be less than one million volts per centimeter;  $V_b < 1.0$  MV/cm, a conclusion consistent with experience in this field. Although it is in principle possible to achieve the highest stored energy per unit volume of dielectric in a capacitor by using the highest dielectric constant materials the complexity of these materials makes this result difficult to achieve, if not obtainable.

Future efforts will be focussed on the application of dielectric materials having permittivities in the range of 50 to 250. The upper limit of  $k > 250$  is chosen as materials having higher values of  $k$  are typically non linear in response, exhibit a significant temperature dependence of  $k$  and are chemically complex.